

D-4 ADMINISTRATION

Summary

Discrepancies Repeats

Staffing

Position - Director of Administration (DA) held position:

Year(s)

Month(s)

Administration Specialty Track:

Mission and Staffing Notes

<u>Y/N/R/NA</u>	<u>Topic/ Detailed Question</u>	<u>How to verify compliance</u>	<u>Discrepancy Write up</u>	<u>Notes</u>
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01 Are publications managed IAW CAPR 1-2?

a) When publishing supplements and OIs, does the unit ensure the content does not conflict with higher headquarters directives?

b) In the anniversary month of each supplement or OI, has the OPR certified it is still current and essential and did administrative officers inform CAP/DA of the certifier's name and the date the certification was made?

a) Unit will provide access to online publications or copies of unit publications for review.

Note: All approved directive publications will eventually be posted on the CAP publications website.

b) Unit will provide access to online publications or copies of unit publications for review.

Note: All approved directive publications will eventually be posted on the CAP publications website.

a) (Discrepancy): [xx] (D4 Question 1) Unit failed to ensure supplement to CAPR XX-X or OI did not conflict with the parent directive IAW CAPR 1-2 para 8.3.

b) (Discrepancy): [xx] (D4 Question 1) Unit failed to ensure supplements and OIs were certified by the OPR, in the anniversary month of the supplement and OI by informing CAP/DA of the certifier's name and the date the certification was made IAW CAPR 1- 2 para 8.3.4.

c) Have supplements, OIs and pamphlets been forwarded to the next higher headquarters IAW CAPR 1-2 paragraphs 8.3.3. and 9.

d) Are unit supplements and OIs revised and re- approved or rescinded within 6 months of the parent regulation’s revision?

c) Unit will provide email traffic or other documentation showing the publication was forwarded to the next higher headquarters.

d) Unit will provide access to online publications or copies of unit publications for review.
Note: All approved directive publications will eventually be posted on the CAP publications website

c) (Discrepancy): [xx] (D4 Question 1) Unit failed to forward a copy of its supplement to CAPR XX- X [OI ###] or pamphlet to the next higher headquarters IAW CAPR 1-2 paragraphs 8.3.3. and 9.

d) (Discrepancy): [xx] (D4 Question 1) Unit failed to ensure supplement to CAPR XX-X or OI was revised and re-approved or rescinded within 6 months of the parent regulation’s revision IAW CAPR 1-2 para 8.3.2.2.
NOTE: Use sub-bullets to identify the offending supplements and OIs

02 Are unit OIs published IAW CAPR 1-2?

Do unit published OIs apply only to their unit (charter xxx)?

Unit will provide access to online publications or copies of unit publications for review.
Note: All approved directive publications will eventually be posted on the CAP publications website.

(Discrepancy): [xx] (D4 Question 2) Unit failed to ensure Operating Instructions (OI) applied only to their chartered unit IAW CAPR 1-2 para 8.3.2.3.
NOTE: Use sub-bullets to identify the offending OIs.

03 Are unit forms published IAW CAPR 1-2?

Unit will provide access to online forms or copies of unit forms for review. All forms, regardless of the issuing headquarters, must be prescribed in a directive publication.

(Discrepancy): [xx] (D4 Question 3) Unit failed to properly publish forms IAW CAPR 1-2 para 8.4.
NOTE: Use sub-bullets to specify the forms in question.

(1)A new form and the prescribing publication must be published and distributed simultaneously. Revised forms may be published and distributed independently.
(2)The prescribing publication

states the purpose of the form and directs when to use the form, when to complete it, and how to submit it.

05 Are cut-off instructions followed IAW CAP regulations?	Compliance is determined through on-site inspection.	(Discrepancy): [xx] (D4 Question 5) There was no evidence the unit follows cut-off instructions IAW CAPR 10-2 para 6 and Attachment 1.
06 Are records destroyed properly IAW CAP regulations?	Compliance is determined through on-site inspection.	(Discrepancy): [xx] (D4 Question 6) There was no evidence the unit follows destruction instructions IAW CAPR 10-2 Attachment 1.
07 Are frequent back-ups of electronic files made IAW CAP regulations?	Compliance is determined through on-site inspection or provide screen shots of on-line back up system if applicable.	(Discrepancy): [xx] (D4 Question 7) Unit does not ensure frequent and thorough backups of electronic records to protect against possible loss of information due to fire, disaster, or storage device failure IAW CAPR 10-2 para 7b.
09 Are unit supplements and OIs properly coordinated and approved? a) Have all supplements and OIs pertaining to AFAMs or federally provided resources been coordinated with the CAP-USAF liaison region and CAP region? b) Have all supplements and OIs been approved by the NHQ OPR as verified by their posting on the CAP publications website?	a) Unit will provide access to online publications or copies of unit publications for review and will show record that the coordination was completed. b) Unit will provide access to online publications or copies of unit publications for review. Note: All approved directive publications will eventually be posted on the CAP publications website.	a) (Discrepancy): [xx] (D4 Question 9) Unit failed to ensure supplements and Operating Instructions (OI) pertaining to Air Force Assigned Missions or federally provided resources were coordinated IAW CAPR 1-2 para 8.3.3.2. NOTE: Use sub-bullets to identify the offending supplements and OIs.
c) Has the unit issued any supplements or OIs to CAPR 1-2?	c) Unit will provide access to online publications or copies of unit publications for review.	b) (Discrepancy): [xx] (D4 Question 9) Unit failed to ensure supplements and Operating Instructions (OI) were approved IAW CAPR 1-2 para 8.3.3. NOTE: Use sub-bullets to identify

d) Did unit OPRs provide compliance elements, as defined in CAPR 1-2, para 7, as Attachment 1 to each supplement and OI issued by their headquarters?

d) Unit will provide access to online publications or copies of unit publications for review.
Note: All approved directive publications will eventually be posted on the CAP publications website.

the offending supplements and OIs.

c) (Discrepancy): [xx] (D4 Question 9) Unit (below wing level) issued a supplement or OI to CAPR 1-2 in violation of para 4.

d) (Discrepancy): [xx] (D4 Question 9) Unit failed to include compliance elements as Attachment 1 to a supplement or OI IAW CAPR 1-2 paragraphs 7 and 8.3.2.1.
NOTE: Use sub-bullets to identify the offending supplements and OIs

10 Are all documents that direct requirements or procedures either a supplement or OI?

Unit will provide access to online publications or copies of unit publications or other directive documents for review.

Note: All approved directive publications will eventually be posted on the CAP publications website.

(Discrepancy): [xx] (D4 Question 10) Unit directed requirements or procedures from a document other than an Operating Instruction (OI) or supplement as prohibited by CAPR 1-2 para 8.3.1.

